

Credit Application Screenshots:

Page 1:

Credit Application

Name of applicant company: Test Voting Member #2 **Membership Type:** Voting Member

Employer Identification Number (EIN): 986986986

[Credit Overview & Supplement - Attachment Q](#)

* Required

* I certify that there are no known litigation or contingencies as described in Attachment Q, Section IIA5 by the Applicant or its predecessors, subsidiaries or Affiliates ¹, or (if applicable) guarantor or successors.

Agree
 Disagree

[Back to Application](#)

[Next Page](#)

[Save as Draft](#)

Page 2:

Credit Application

Name of applicant company: Test Voting Member #2 **Membership Type:** Voting Member

Employer Identification Number (EIN): 986986986

[Credit Overview & Supplement - Attachment Q](#)

* Required

* I further certify that to the best of my knowledge, none of the Applicant's officers, principals or employees involved in trading, and none of the organizations in which any of such individuals were formerly employed or had an association has ever, with respect to or resulting from any time in which such employment or association was in effect, been the subject of regulatory investigation, had its market based rate authority suspended or terminated, or had its retail supplier license suspended or terminated.

Agree
 Disagree

[Back to Application](#)

[Previous Page](#)

[Next Page](#)

[Save as Draft](#)

Page 3:

Credit Application

Name of applicant company: Test Voting Member #2 **Membership Type:** Voting Member

Employer Identification Number (EIN): 986986986

[Credit Overview & Supplement - Attachment Q](#)

* Required

* The applicant or guarantor (if any) has not filed for, or been in, bankruptcy at any time in the past seven years.

Agree
 Disagree

[Back to Application](#) [Previous Page](#) [Next Page](#) [Save as Draft](#)

Users of the PJM communities recognize and acknowledge the content and data included herein is hosted by a third party in an electronic

Page 4:

Credit Application

Name of applicant company: Test Voting Member #2 **Membership Type:** Voting Member

Employer Identification Number (EIN): 986986986

[Credit Overview & Supplement - Attachment Q](#)

* Required

* Is the applicant or guarantor rated by S&P, Moody's or Fitch?

Yes
 No

If applicable, what is the full legal name of the parent guarantor?

* Will the applicant provide cash or letter of credit to satisfy minimum capital requirements or market credit requirements?

Cash [Letter of Credit Standard Form](#)
 Letter of Credit [Surety Bond](#)
 Surety Bond

[Back to Application](#) [Previous Page](#) [Next Page](#) [Save as Draft](#)

Users of the PJM communities recognize and acknowledge the content and data included herein is hosted by a third party in an electronic

Page 5:

Name of applicant company: Test Voting Member #2 **Membership Type:** Voting Member

Employer Identification Number (EIN): 986986986

[Credit Overview & Supplement - Attachment Q](#)

* Required

Are you currently active in any ISOs?

Yes
 No

* Please select the ISOs you are active in.

PJM
 CAISO

* List active market and products in CAISO. * How long have you participated in CAISO?

ERCOT
 SPP
 MISO
 NYISO
 ISO-NE

What ISOs were you formerly active?

PJM
 CAISO
 ERCOT
 SPP
 MISO
 NYISO
 ISO-NE

[Back to Application](#) [Previous Page](#) [Next Page](#) [Save as Draft](#)

Page 6:

* Please download and complete this [Bank Reference \(DOC\)](#) document.

Or drop files
Accepted file types: doc, docx, pdf.

* Please download and complete this [Trade Reference \(DOC\)](#) document. Three trade references are required.

Or drop files
Accepted file types: doc, docx, pdf.

* Have you ever defaulted in any market? Refer to Attachment Q Section II A 6. History of Defaults in Energy Projects of the PJM Tariff.

- Yes
- No

* Please provide organizational or formation documents under the state in the United States in which your company was duly organized (e.g. LLC agreement, articles of incorporation, corporate charter, partnership agreement, etc.), together with a secretary of state certificate of good standing as described in Section 17.1.1 of the Operating Agreement.

- Uploads
- Website Uri

Please paste the website uri here.

* Has the applicant been financed publicly or private via an [Offering Memorandum](#) ?

- Yes
- No

* How would you like to provide company risk management policies and procedures?

- Uploads
- Website Uri

Please paste the website uri here.

Via a separate email, pdf, or Word document, please provide: a high level summary by the chief risk officer or other Principal regarding any material violations, breaches, or compliance or disciplinary actions related to the risk management policies, by the Participant under the policies, procedures or controls within the prior 12 months.

Or drop files
Accepted file types: doc, docx, pdf

Credit Application

Name of applicant company: Test Voting Member #2 Membership Type: Voting Member

Employer Identification Number (EIN): 986986986

[Credit Overview & Supplement - Attachment Q](#)

* Required

* Please download and complete this [Bank Reference \(DOC\)](#) document.

[Upload Files](#) Or drop files

Accepted file types: doc, docx, pdf.

08.03.2022 14:06:17 - Contact Management User Guide.pdf

* Please download and complete this [Trade Reference \(DOC\)](#) document. Three trade references are required.

[Upload Files](#) Or drop files

Accepted file types: doc, docx, pdf.

08.03.2022 14:06:34 - CAMFormB.pdf

08.03.2022 14:06:34 - CAMFormAB_fullmember.pdf

08.03.2022 14:06:34 - CAMForm A.pdf

* Have you ever defaulted in any market? Refer to Attachment Q Section II A 6. History of Defaults in Energy Projects of the PJM Tariff.

- Yes
 No

* Please provide organizational or formation documents under the state in the United States in which your company was duly organized (e.g. LLC agreement, articles of incorporation, corporate charter, partnership agreement, etc.), together with a secretary of state certificate of good standing as described in Section 17.1.1 of the Operating Agreement.

- Uploads
 Website Url

www.test.com

* Has the applicant been financed publicly or private via an [Offering Memorandum](#) ?

- Yes
 No

* How would you like to provide company risk management policies and procedures?

- Uploads
 Website Url

test.com

Via a separate email, pdf, or Word document, please provide: a high level summary by the chief risk officer or other Principal regarding any material violations, breaches, or compliance or disciplinary actions related to the risk management policies, by the Participant under the policies, procedures or controls within the prior 12 months.

[Upload Files](#) Or drop files





Accepted file types: doc, docx, pdf

Principals

Please enter information for the Top 5 most senior Principals for your company per PJM Tariff, Attachment Q, sections II.A.7 and II.E.7. (See definition below for 5 required roles)

- "Principal" shall mean (i) the chief executive officer or senior manager that controls or directs strategy for the Participant, (ii) the chief legal officer or general counsel, (iii) the chief financial officer or senior manager that controls or directs the financial affairs and investments of the Participant, (iv) the chief risk officer or senior manager responsible for managing commodity and derivatives market risks, and (v) the officer or senior manager responsible for or to be responsible for transactions in the applicable PJM Markets. If, due to the Participant's business enterprise, structure or otherwise, the functions attributed to any of such Principals are performed by an individual or entity separate from the Participant (such as a risk management department in an affiliate, or a director or manager at an entity that controls or invests in the Participant), then for that Participant the term Principal shall mean that individual, or the senior officer or manager of that entity, that performs such function.

Add New...

First Name	Last Name	Date of Birth	Principal Type	Employer (if different than Member)	Actions
Sean	Tester	06.01.2000	<ul style="list-style-type: none"> The chief financial officer or senior manager that controls or directs the financial affairs and investments of the Participant. The chief risk officer or senior manager responsible for managing commodity and derivatives market risks. 		 
Suzanne	Tester	06.01.2000	<ul style="list-style-type: none"> The chief executive officer or senior manager that controls or directs strategy for the Participant. The chief legal officer or general counsel (may include external counsel). The officer or senior manager responsible for or to be responsible for transactions in the applicable PJM Markets. 	testingtesting2	 

Pending New/Update Requests

First Name	Last Name	Date of Birth	Principal Type	Employer (if different than Member)	Actions
No pending new/update requests to display.					

Credit Application

Name of applicant company: Test Voting Member #2 Membership Type: Voting Member

Employer Identification Number (EIN): 986986986

[Credit Overview & Supplement - Attachment Q](#)

* Required

* Are you in compliance with all company covenants and indentures?

- Yes
 No

* What is your organization type?

- Publicly Traded
 Privately Held with Audited Financials
 Newly Formed or No Audited Financials

[Back to Application](#)

[Previous Page](#)

[Next Page](#)

[Save as Draft](#)

*** Required**

*** How would you like to provide your Form 10-K and any amendments?**

Uploads
 Website Url

test

*** How would you like to provide your Quarterly reports on Form 10-Q and any amendments?**

Uploads
 Website Url

Please paste the website url here.

*** How would you like to provide your Form 8-K, if any, since last 10-K?**

Uploads
 Website Url

test

*** Please provide the Participant's primary purpose(s) of activity or anticipated activity in the PJM Markets (investment, trading or "hedging or mitigating commercial risks," as such phrase has meaning in the CFTC's regulations regarding the end-user exception to clearing).**

test

*** Please provide the experience of the Participant (and its Principals) in managing risks in similar markets, including other organized RTO/ISO markets or on regulated commodity exchanges.**

test

*** Please provide a high level overview of the Participant's intended participation in the PJM Markets.**

test

****All audited financial statements provided by an Applicant with publicly traded securities and/or its Guarantor with publicly traded securities must be audited by an Independent Auditor that satisfies the requirements set forth in the Sarbanes-Oxley Act of 2002.****

[Back to Application](#)
[Previous Page](#)
[Next Page](#)
[Save as Draft](#)

Page 9 & 10 (if privately held with audited financials is selected):

Credit Application

Name of applicant company: Test Voting Member #2 **Membership Type:** Voting Member

Employer Identification Number (EIN): 986986986

[Credit Overview & Supplement - Attachment Q](#)

*** Required**

*** Are you in compliance with all company covenants and indentures?**

Yes
 No

*** What is your organization type?**

Publicly Traded
 Privately Held with Audited Financials
 Newly Formed or No Audited Financials

[Back to Application](#)
[Previous Page](#)
[Next Page](#)
[Save as Draft](#)

Pending Remove Requests

No pending remove requests to display.

Principal Type Status

If a Principal has been provided for the required role, a ✓ will display. Otherwise, a ✗ will display.

✓	The chief executive officer or senior manager that controls or directs strategy for the Participant.
✓	The chief legal officer or general counsel (may include external counsel).
✓	The chief financial officer or senior manager that controls or directs the financial affairs and investments of the Participant.
✓	The chief risk officer or senior manager responsible for managing commodity and derivatives market risks.
✓	The officer or senior manager responsible for or to be responsible for transactions in the applicable PJM Markets.

* Please upload a bio for each of the principals listed above

Or drop files

Accepted file types: doc, docx, pdf

Credit Application

Name of applicant company: Test Voting Member #2 **Membership Type:** Voting Member

Employer Identification Number (EIN): 986986986

[Credit Overview & Supplement - Attachment Q](#)

* Required

* How would you like to provide your audited financials for the 3 fiscal years most recently ended, or the period of existence, if shorter? These should include a balance sheet, income statement, statement of cash flows, statement of equity, notes to the financials, and an auditor's report with an unqualified opinion as to whether the statements comply with US GAAP or another format acceptable to PJM. Please also provide any quarterly financials and notes, including disclosures of any material changes since the last report

Uploads
 Website Url

Please paste the website url here.

* Disclosure equivalent to a Management's Discussion and Analysis, including an executive overview of operating results and outlook, and compliance with debt covenants and indentures, and off balance sheet arrangements, if any.

* Please provide the Participant's primary purpose(s) of activity or anticipated activity in the PJM Markets (investment, trading or "hedging or mitigating commercial risks," as such phrase has meaning in the CFTC's regulations regarding the end-user exception to clearing).

test

* Please provide the experience of the Participant (and its Principals) in managing risks in similar markets, including other organized RTO/ISO markets or on regulated commodity exchanges.

test

* Please provide a high level overview of the Participant's intended participation in the PJM Markets.

test

[Back to Application](#)

[Previous Page](#)

[Next Page](#)

[Save as Draft](#)

Page 9 & 10 (if newly formed or no audited financials is selected):

Credit Application

Name of applicant company: Test Voting Member #2 **Membership Type:** Voting Member

Employer Identification Number (EIN): 986986986

[Credit Overview & Supplement - Attachment Q](#)

* Required

* Are you in compliance with all company covenants and indentures?

Yes
 No

* What is your organization type?

Publicly Traded
 Privately Held with Audited Financials
 Newly Formed or No Audited Financials

[Back to Application](#)

[Previous Page](#)

[Next Page](#)

[Save as Draft](#)

Credit Application

Name of applicant company: Test Voting Member #2 Membership Type: Voting Member

Employer Identification Number (EIN): 986986986

[Credit Overview & Supplement - Attachment Q](#)

* Required

* How would you like to provide your unaudited financials or equivalent for the 3 fiscal years most recently ended, or the period of existence, if shorter? These should include a balance sheet, income statement, and statement of cash flows.

- Uploads
 Website Url

Please paste the website url here.

* Disclosure equivalent to a Management's Discussion and Analysis, including an executive overview of operating results and outlook, and compliance with debt covenants and indentures, and off balance sheet arrangements, if any.

test

* Please provide the Participant's primary purpose(s) of activity or anticipated activity in the PJM Markets (investment, trading or "hedging or mitigating commercial risks," as such phrase has meaning in the CFTC's regulations regarding the end-user exception to clearing).

test

* Please provide the experience of the Participant (and its Principals) in managing risks in similar markets, including other organized RTO/ISO markets or on regulated commodity exchanges.

test

* Please provide a high level overview of the Participant's intended participation in the PJM Markets.

test

[Back to Application](#)

[Previous Page](#)

[Next Page](#)

[Save as Draft](#)

Page 11:

Credit Application

Name of applicant company: Test Voting Member #2 Membership Type: Voting Member

Employer Identification Number (EIN): 986986986

[Credit Overview & Supplement - Attachment Q](#)

* Required

By signing below, Applicant certifies that it has read, understands, and complies with all applicable requirements under the PJM Open Access Transmission Tariff ("Tariff"), the Amended and Restated Operating Agreement of PJM Interconnection, L.L.C. ("Operating Agreement"), the Reliability Assurance Agreement Among Load-Serving Entities in the PJM Region ("RAA"), and the regulations and orders of the Federal Energy Regulatory Commission ("FERC"), necessary to conduct the market activities specified above. Applicant acknowledges that PJM retains the ability to refer Applicant to the PJM Independent Market Monitor and the FERC Office of Enforcement for violations of the aforementioned requirements. By affixing a signature below, Applicant and the undersigned acknowledge their understanding that the making of false statement(s) herein may be grounds for denying the Application or, if later discovered, for revoking any authorization(s) granted pursuant to the Application. This Application is subject to all applicable state and federal laws and regulations pertaining to falsification of documents by a public utility or other entity participating in wholesale energy markets and otherwise.

[Back to Application](#)

[Previous Page](#)

[Save And Complete](#)

Users of the PJM communities recognize and acknowledge the content and data included herein is hosted by a third party in an electronic "cloud"