

PJM Interconnection, L.L.C., Intra-PJM Tariffs
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SCHEDULE 5

FORCED OUTAGE RATE CALCULATION

A. The equivalent demand forced outage rate ("EFOR_D") shall be calculated as follows:

$$\text{EFOR}_D (\%) = \{(f_f * \text{FOH} + f_p * \text{EFPOH}) / (\text{SH} + f_f * \text{FOH})\} * 100$$

where

f_f = full outage factor
 f_p = partial outage factor
FOH = full forced outage hours
EFPOH = equivalent forced partial outage hours
SH = service hours

B. Calculation of EFOR_D for individual Generation Capacity Resources.

For each Delivery Year, EFOR_D shall be calculated at least one month prior to the start of the ~~Third~~ Scheduled Incremental Auction (or for Delivery Years through the 2016-2017 Delivery Year, at least one month prior to the start of the Third Incremental Auction) for: (i) each Generation Capacity Resource for which a sell offer will be submitted in such ~~Third-Scheduled (or Third)~~ Incremental Auction; and (ii) each Generation Capacity Resource previously committed to serve load in such Delivery Year pursuant to an FRR Capacity Plan or prior auctions for such Delivery Year. Such calculation shall be based upon such resource's service history in the twelve (12) consecutive months ending September 30 last preceding such auction. Historical data shall be based on official reports of the Parties under rules and practices set forth in the PJM Manuals. Such rate shall also include (i) an adjustment, if any, for capacity unavailable due to energy limitations determined in accordance with definitions and criteria set forth in the PJM Manuals and (ii) any other adjustments approved by the Members Committee to adjust the parameters of a designated unit. For purposes of the calculations under this Paragraph B, outages deemed to be outside plant management control in accordance with NERC guidelines shall not be considered.

1. The EFOR_D of a unit in service twelve or more full calendar months prior to the calculation month shall be the average rate experienced by such unit during the twelve-month period specified above. Historical data shall be based on official reports of the Parties under rules and practices set forth in the PJM Manuals.
2. The EFOR_D of a unit in service at least one full calendar month but less than the twelve-month period specified above shall be the average of the EFOR_D experienced by the unit weighted by full months of service, and the class average rate for units with that capability and of that type weighted by a factor of [(twelve)

minus (the number of months the unit was in service)]. Historical data shall be based on official reports of the Parties under rules and practices set forth in the PJM Manuals.

C. Calculation of average EFOR_D for the PJM Region

The forecast average EFOR_D for the PJM Region in a Delivery Year shall be the average of the forced outage rates, weighted for unit capability and expected time in service, attributable to all of the Generation Capacity Resources within the PJM Region, that are planned to be in service during the Delivery Year, including Generation Capacity Resources purchased from specified units and excluding Generation Capacity Resources sold outside the PJM Region from specified units. Such rate shall also include (i) an adjustment, if any, for capacity unavailable due to energy limitations determined in accordance with definitions and criteria set forth in the PJM Manuals and (ii) any other adjustments developed by the Office of Interconnection and maintained in the PJM Manuals to adjust the parameters of a designated unit when such parameters are or will be used to determine a future PJM Region reserve requirement and such adjustment is required to more accurately predict the future performance of such unit in light of extraordinary circumstances. For the purposes of this Schedule, the average EFOR_D shall be the average of the capacity-weighted EFOR_Ds of all units committed to serve load in the PJM Region; and for purposes of the EFOR_D calculations under this Paragraph C for any Delivery Year beginning after May 31, 2010, outages deemed to be outside plant management control in accordance with NERC guidelines shall not be considered. All rates shall be in percent.

1. The EFOR_D of a unit not yet in service or which has been in service less than one full calendar year at the time of forecast shall be the class average rate for units with that capability and of that type, as estimated and used in the calculation of the Forecast Pool Requirement.
2. The EFOR_D of a unit in service five or more full calendar years at the time of forecast shall be the average rate experienced by such unit during the five most recent calendar years. Historical data shall be based on official reports of the Parties under rules and practices developed by the Office of Interconnection and maintained in the PJM Manuals.
3. The EFOR_D of a unit in service at least one full calendar year but less than five full calendar years at the time of the forecast shall be determined as follows:

Full Calendar
Years of Service

- | | |
|---|--|
| 1 | One-fifth the rate experienced during the calendar year, plus four-fifths the class average rate. |
| 2 | Two-fifths the average rate experienced during the two calendar years, plus three-fifths the class average rate. |

3

Three-fifths the average rate experienced during the three calendar years, plus two-fifths the class average rate.

4 Four-fifths the average rate experienced during the four calendar years, plus one-fifth the class average rate.